


Substitute form 1449A/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	To Be Assigned
			Filing Date	Concurrently Herewith
			First Named Inventor	Kang
			Group Art Unit	Unknown
			Examiner Name	Unknown
Sheet		of	Attorney Docket Number	5649-1219

U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
DW DW	1.	US-5,523,964		McMillan et al.	06/04/1996
	2.	US-5,198,994		Natori	03/30/1993
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature		Date Considered	11/03/05
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11/13/03

(AW 08/03)

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 3

Complete If Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Kazuhisa Yamamoto, et al.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket No.	YAO-3750US4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DW		US-5,452,312	09-19-1995	Yamamoto, et al.	
		US-5,295,218	03-1994	Agostinelli	
		US-5,113,524	05-1992	Hirota	
		US-4,907,238	03-1990	Chun	
		US-4,667,331	05-1987	Alferness	
		US-5,614,961	03-1997	Gibeau	
		US-4,665,529	05-12-1987	Baer, et al.	
		US-5,036,220	07-30-1991	Byer, et al.	
		US-5,303,247	04-12-1994	Yamamoto, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
DW		JP 6-18823 U	03-11-1994			<input type="checkbox"/>
		JP 08-194196	07-30-1996	Fujitsu, Ltd.		<input type="checkbox"/>
		JP 2-199975	08-08-1990	Sony Corp.		<input type="checkbox"/>
		JP 2-221995	09-04-1990	Sony Corp.		<input type="checkbox"/>
		JP 3-191332	08-21-91	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
		JP 3-38984	02-20-91	Pioneer Electronic Corp.		<input type="checkbox"/>
		JP 4-100088	04-02-92	Sony Corp.		<input type="checkbox"/>
		JP 4-18823	02-17-92	Asahi Glass Co., Ltd.		<input type="checkbox"/>
		JP 4-296731	10-21-92	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
		JP 4-315120	11-6-92	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
		JP 4-45478	2-14-92	Ibiden Co., Ltd.		<input type="checkbox"/>
		JP 4-507299	12-17-92	Board of Trustees of Leland Stanford Jr. Univ.		<input type="checkbox"/>
		JP 5-107421	4-30-93	Fujitsu, Ltd.		<input type="checkbox"/>
		JP 5-173094	7-13-93	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
		(**continued on page 2**)				<input type="checkbox"/>

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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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YAO-3750US5

SHEET 2 of 3

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Kazuhisa Yamamoto, et al.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket No.	YAO-3750US4

SHEET 3 of 3

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
DN		MIZUUCHI, K., et al., "Second-Harmonic Generation of Blue Light in a LiTaO ₃ Waveguide", <u>Applied Physics Letters</u> , Vol. 58, No. 24, pp. 2732-2734 (1991).	<input type="checkbox"/>
		International Search Report corresponding to PCT/JP96/01472 dated 10/8/96.	<input type="checkbox"/>
		Chinese Office Action dated July 10, 2003.	<input type="checkbox"/>
		AHLFELDT, H., et al., "Postfabrication Changes and Dependence on Hydrogen Concentration of the Refractive Index of Proton-Exchanged Lithium Tantalate Waveguides", pp. 717-727 (January 15, 1994).	<input type="checkbox"/>
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Examiner Signature		Date Considered	11/03/05
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STATEMENT BY APPLICANT**

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SHEET 1 of 1

Complete if Known

Application Number	10/712,126
Filing Date	November 13, 2003
First Named Inventor	Kazuhisa Yamamoto, et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
DW ↓		US-5,042,909	07/27/1991	Garcia, Jr., et al.	
		US-5,130,838	07/14/1992	Tanaka, et al.	
		US-5,177,758	01/05/1993	Oka, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
DW ↓		WO 94/15237	07/07/1994	Thomson-CSF		<input type="checkbox"/>
		JP 04-000783	01/06/1992	Hitachi Ltd.		<input type="checkbox"/>
		JP 06-295159	10/21/1994	Matsushita Electric Ind Co Ltd		<input type="checkbox"/>

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
DW		Chinese Office Action dated April 29, 2005	<input type="checkbox"/>
↓		Chinese Office Action dated June 3, 2005.	<input type="checkbox"/>
↓		Chinese Office Action dated June 29, 2005 (first).	<input type="checkbox"/>
↓		Chinese Office Action dated June 29, 2005 (second).	<input type="checkbox"/>

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4/22/06

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